



Association of Mutual Funds in India

Application Form for allotment of AMFI Registration Number (ARN) For Individuals (Including Sole Proprietorship)



APPLICANT'S PERSONAL DETAILS

Name of the Applicant (In Block letters as mentioned on PAN Card)

Ram Kumar Sharma

Gender: Female Male Other

Date of Birth: 16/09/1988

Educational Qualification*:

SSC/Matriculate HSC

Graduate Post Graduate

NISM certificate* No. N001545676 valid up to 12/08/2026

KYD complied Date DD/MM/YYYY (Please attach Acknowledgment)

* Please attach a self-attested copy

Whether Applying for ARN in the name of Self Sole Proprietorship concern

If applying for ARN in the name of Sole Proprietorship, please provide Name of the Sole Proprietorship concern

NA

PAN AAJB1245K GST IN NA

Please attach a self-attested copy of the PAN Card & GST Certificate

APPLICANT'S CONTACT DETAILS

Address for Correspondence *

Address Line 1 Flat-1036, Ajnara Greens
Address Line 2 Sector-12C, Greater Noida West
Address Line 3
City: Noida PIN 201306
District Gautam Budh Nagar State Uttar Pradesh

* Please attach a self-attested copy of proof of address

Mobile No.1 +91 9812457777 Mobile No. 2 +91
Tel. No. 1 STD - Tel. No. 2 STD -
Email Id. 1 ram_sharma@gmail.com
Email Id. 2

APPLICANT'S BANK PARTICULARS

Bank Name HDFC Bank Ltd.
Account No. 000885457421 11-digit IFSC HDFC00088
A/c. Type (✓) SB Current NRO NRE 9-digit MICR No. 11000254
Name of branch Sector-18 Noida
City Noida PIN 201301

Please attach a Cancelled cheque with the applicant's name pre-printed on it

ARN FEE PAYMENT DETAILS (DD in favour of "Association of Mutual Funds in India")

DD No. 001245 Dated 12/01/2023 for ₹ 1770/-
Drawn on HDFC Bank and Branch -Noida Payable at Noida

DECLARATION

I hereby declare that the information furnished hereinabove is true and correct to the best of my knowledge and belief. I confirm having read the Terms & Conditions mentioned overleaf on page 2 and hereby undertake to abide by the same and the Code of Conduct for mutual fund distributors. I shall promptly notify AMFI or its Registrar in case of any changes in the above information.

Date 14/01/2023

Place: Noida

J. Appleseed

Signature of the Applicant

TERMS & CONDITIONS FOR GRANT OF ARN

As per SEBI Regulations, an individual is required to (i) pass “NISM Series V- A: Mutual Fund Distributors Certification Examination” and obtain NISM Certificate; and (ii) register with AMFI and obtain AMFI Registration Number (ARN) before engaging in distribution of mutual fund products or being employed in sale and/or promotion of mutual fund products.

AMFI is the registering body (licensing authority) for Mutual Fund Distributors (MFDs) by virtue of the responsibility delegated by SEBI to AMFI and not the employer of distributors, nor is there any contractual tie-up or agreement between the ARN Holder and AMFI.

Allotment of ARN by AMFI is solely for the purpose of enabling the MFD to empanel with Asset Management Companies (AMCs) for distribution of Mutual Fund schemes.

The MFD is required to have a valid ARN at all times to canvass MF business. Thus, the MFD needs to renew his/her NISM Certificate and ARN before expiry of the validity thereof. As per SEBI and AMFI guidelines, transactions received under an ARN which is invalid for any reason are processed under Direct Plan

The MFD shall comply with the provisions of SEBI (Mutual Funds), Regulations, 1996 as amended from time to time and adhere to the Code of Conduct and various circulars / best practice guidelines prescribed for Mutual Fund intermediaries by AMFI.

The MFD / ARN Holder shall promote mutual fund products/ business in accordance with SEBI Mutual funds Regulations and the Code of Conduct for Mutual Fund Distributors, as also AMFI Guidelines and Norms for Intermediaries (AGNI) and any rules and regulations / guidelines issued by SEBI or AMFI from time to time.

The ARN / AMFI registration is liable to be suspended temporarily or permanently cancelled, in the event of any breach of SEBI / AMFI Guidelines and/or Code of Conduct on the part of the ARN holder.

Grant of AMFI Registration / allotment of ARN is a pre-requisite mandated by SEBI for MFDs for marketing and selling Mutual Fund products and does not, in any way, imply that AMFI takes any responsibility for any acts or omission on the part of the MFD or that AMFI has vouched for the MFD's credentials as an intermediary and the MFD undertakes to bring this to the notice of all concerned while acting as an MFD.

Checklist for Documents to be submitted

1. PAN Card Copy
2. GSTIN certificate
3. NISM Certificate Copy
4. Address Proof
5. Cancelled Cheque Copy
6. KYD Acknowledgement
7. Two Stamp Size Colour Photographs
8. DD favouring “Association of Mutual Funds In India” payable at the location of CAMS office where the application is submitted.